



Welcome to the ...

## NIH Cloud Platforms Interoperability Spring 2021 Workshop

Bio Data AnVIL National Library of Medicine

We'll be starting shortly!

May 3 & 4, 2021 11:00am-4:30pm EDT

tinyurl.com/NCPlagenda





## Welcome – NCPI Spring 2021 Workshop Day 1

Samuel Volchenboum University of Chicago

**Tanja Davidsen** *National Cancer Institute* 









## Logistics

- Please use the WebEx application and not a browser
- Please mute when not speaking
- We will be recording all the sessions except the breakout sessions
- Notes will also be taken during the sessions
- Speakers please turn your camera on when speaking
- If you have not registered, please do: tinyurl.com/NCPIregistration
- Agenda: tinyurl.com/NCPlagenda
- Fall 2021 Workshop poll: tinyurl.com/NCPIfallpoll





## Agenda

Day 1: Monday, May 3

11:00am-12:30pm – Welcome and Working Group Updates

12:30-1:00pm – Break

1:00-1:20pm – Working Group Updates continued

1:20-2:30pm – Three Concurrent Breakout Groups

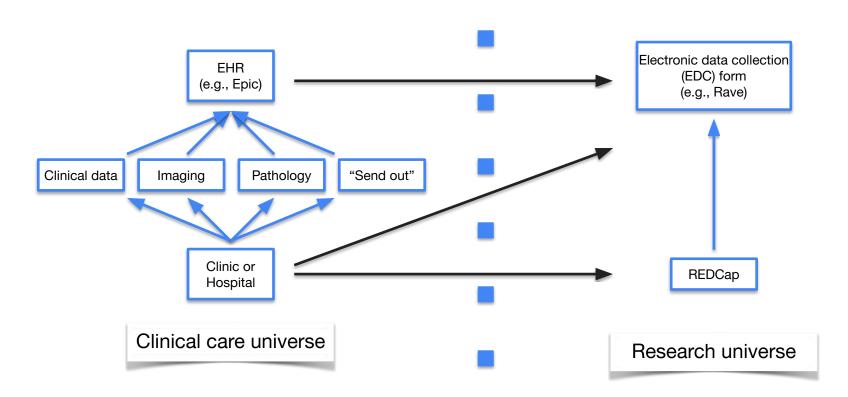
2:30-3:00pm - Break

3:00-3:20pm - NCBI talk

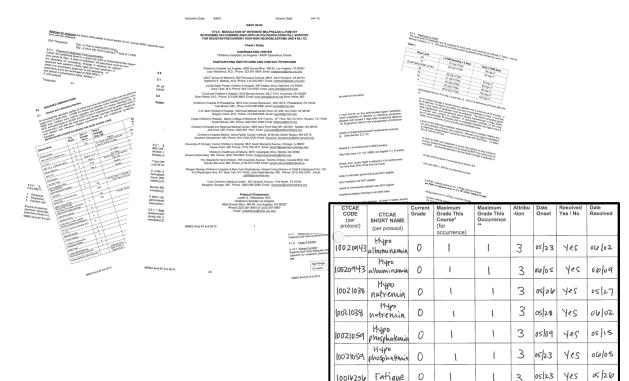
3:20-4:20pm – Breakout Groups Report Back

4:20-4:30pm - Wrap Up

## Parallel universes



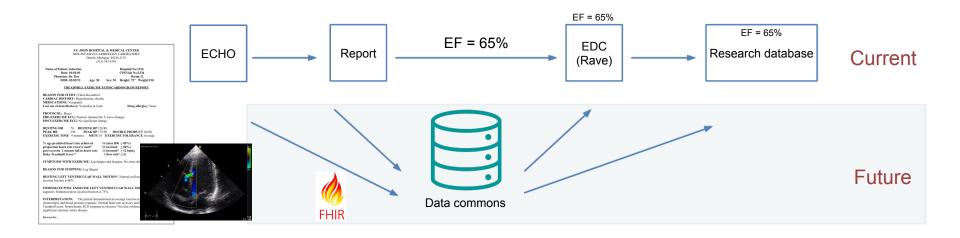
# Arcane manual processes



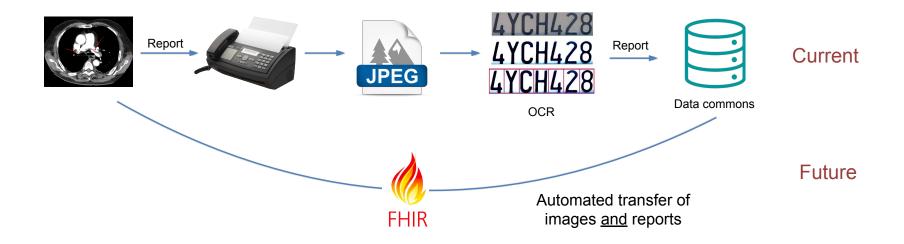




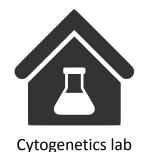
## The information funnel



# Lossy information transfer



# Legacy data transfer methods





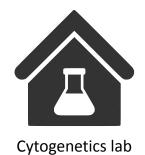


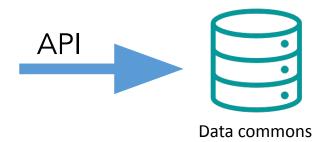


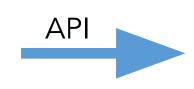
Clinical research assistant



Case report form









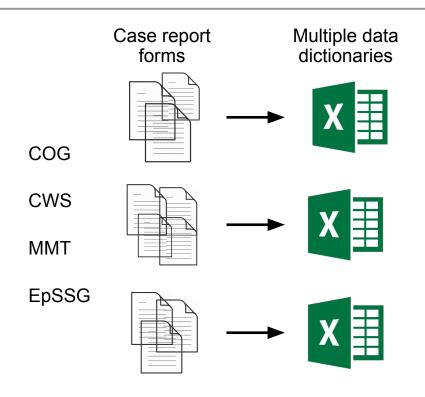
Research database

# Manual field mapping

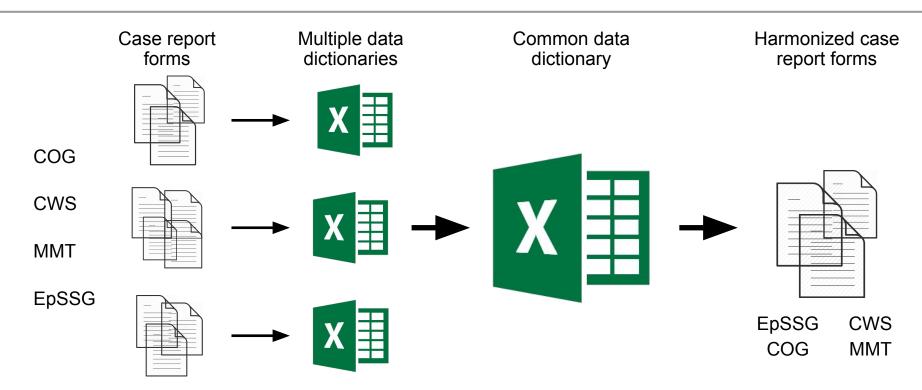
Row	LOINC #	Component	System	Ex. Units	Method	%99.+	Long Common Name	
19	30350-3	Hemoglobin	BldV	g/L;g/dL			Hemoglobin [Mass/volume] in Venous blood	
18	30351-1	Hemoglobin	BIdMV	g/dL			Hemoglobin [Mass/volume] in Mixed venous blood	
16	30353-7	Hemoglobin	BldCoV	g/dL			Hemoglobin [Mass/volume] in Venous cord blood	
17	33025-8	Hemoglobin	BldCoV	g/dL	Calculated		Hemoglobin [Mass/volume] in Venous cord blood by calculation	
14	30354-5	Hemoglobin	BldCoA	g/dL			Hemoglobin [Mass/volume] in Arterial cord blood	
15	33026-6	Hemoglobin	BldCoA	g/dL	Calculated		Hemoglobin [Mass/volume] in Arterial cord blood by calculation	
13	40719-7	Hemoglobin	BldCo	g/L;g			Hemoglobin [Mass/volume] in Cord blood	
12	30352-9	Hemoglobin	BldC	g/dL			Hemoglobin [Mass/volume] in Capillary blood	
11	14775-1	Hemoglobin	BldA	g/L	Oximetry		Hemoglobin [Mass/volume] in Arterial blood by Oximetry	
10	30313-1	Hemoglobin	BldA	g/dL			Hemoglobin [Mass/volume] in Arterial blood	
21	61180-6	Hemoglobin	Bld^fetus	g/L			Hemoglobin [Mass/volume] in Blood from Fetus	
20	54289-4	Hemoglobin	Bld^BPU	g/dL			Hemoglobin [Mass/volume] in Blood from Blood product unit	
8	20509-6	Hemoglobin	Bld	g/dL;	Calculated	0.2679%	Hemoglobin [Mass/volume] in Blood by calculation	
7	718-7	Hemoalobin	Bld	aidt :		2.3221%	Hemodobin [Mass/volume] in Blood	]
9	55782-7	Hemoglobin	Bld	g/dL	Oximetry		Hemoglobin [Mass/volume] in Blood by Oximetry	
22	41995-2	Hemoglobin A1c	Bld	g/dL			Hemoglobin A1c [Mass/volume] in Blood	

Which hemoglobin maps to the one requested in the clinical trial? (spoiler: don't know - protocols rarely utilize standardized codes)

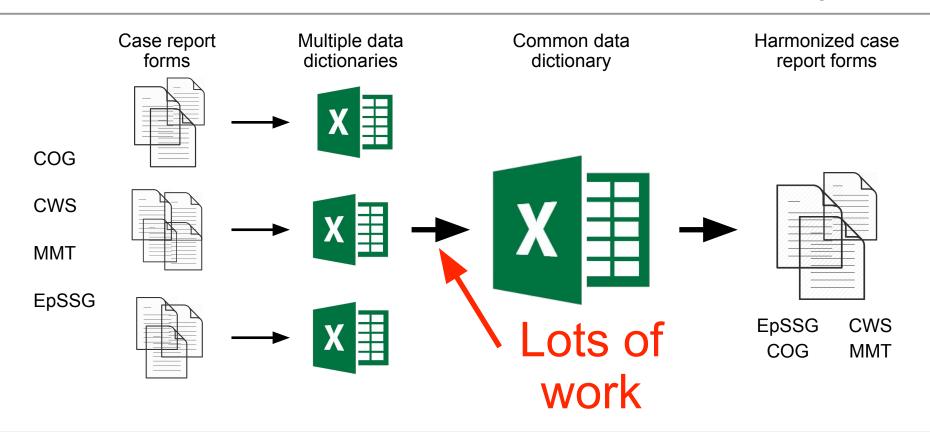
# Lack of harmonization across groups



# Lack of harmonization across groups

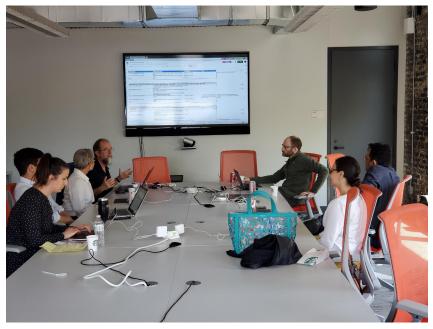


# Lack of harmonization across groups



# Data dictionary development





# Example - RMS site of disease

<b>Major Primary Site</b>	cws	COG	EpSSG/MMT Name
HEAD & NECK	Orbit	2=Orbit	Orbit
	Scalp	10=Scalp	Soft tissue of scalp
			External auricular canal
			Ear soft tissue, external ear
			Temporal muscle
	Parotid	9=Paratoid	Parotid, soft tissue
	Oral Cavity	7=Oral cavity	Gum
			Base of tongue
			Lip
			Lower lip
			Upper lip
			Tongue
	Larynx	5=Larynx	Larynx
	Oropharynx	8=Orophaynx	Oropharynx
			Lingual tonsil
			Mandible soft tissue
			Bone of face (Maxillar)
			Masseter
			Oral cavity
	Cheek	3=Cheek	Cheek
	Hypopharynx	4=Hypopharynx	Hypopharynx
	Thyroid & Parathyroid	11=Thyroid & Parathyroid	Thyroid
	Neck	6=Neck	Neck
			Neck Supra-clavicular soft tissues
			Neck, nodes Nos
		12=Other Head & Neck	Chin
			Soft tissue face (non specified region)
			Face specified region
			Nasolabial fold (skin)
			Nostril

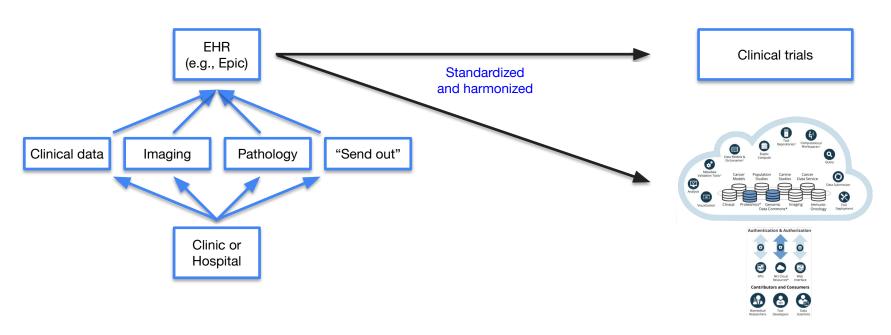
# Harmonized dictionary

Instruct Variable Name	Instruct Permissible Values Term	Mapped Standard Code
HEAD AND NECK	Eyelid	C0015426
	Orbit	C0700042
	Other orbit	C0700042
	Cheek	C0007966
	Hypopharynx	C0020629
	Larynx	C0023078
	Neck	C0027530
	Oral cavity	C1711367
	Oropharynx	C0521367
	Parotid	C3272625
	Scalp	C0036270
	Thyroid and parathyroid	C0574117
	Other face	C0015450
	Other head and neck	<u>C0460004</u>
	Middle ear	C0013455
	Nasal cavity and paranasal sinuses	C0027423
	Nasal cavity	C0027423
	Paranasal sinuses	C0030471
	Nasopharynx	C0027442

## Progress in the Pediatric Cancer Data Commons

	STAKEHOLDERS ENGAGED	DATA DICTIONARY ESTABLISHED	DATA CONTRIBUTORS COMMITTED	CONSORTIUM MOU SIGNED	CASES IN COMMONS	ANALYSES IN PROGRESS	PAPERS PUBLISHED
acute lymphoblastic leukemia			<del>-</del> O-		_0_	<del>-</del> O-	
acute myeloid leukemia			4	INTERACT	_0_	<del>-</del> O-	
bone tumors		V1	9	HIBiSCus	_0_	<del>-</del> O-	
central nervous system tumors	_		_0_	INSPIRE	_0_	_0_	_0_
germ cell tumors			8	MaGIC	_0_	13	33
Hodgkin lymphoma	_		2	NODAL	_0_	_0_	
neuroblastoma	_		4	INRG	22K	10	18
predisposition	_			(//Ç3P//)	_0_		_0_
retinoblastoma	_	_0_			_0_		
soft-tissue sarcoma	_		5	INSTRuCT	4.6K	10	5

## Standards can help us achieve one universe



Research data commons





## Cloud-Based Biomedical Data Storage and Analysis: Implications for Trustworthy Governance

Sarah Nelson (University of Washington)

## **Working Group Updates**

**NIH Coordination** 

Valentina Di Francesco NHGRI/AnVIL





## **Current NCPI Coordination WG Members**



#### **NHGRI AnVIL**

Valentina Di Francesco Ken Wiley Natalie Kucher

#### **NHLBI BioData Catalyst**

Jon Kaltman Alastair Thomson Chip Schwartz Sweta Ladwa

#### **CF Kids Firsts**

Valerie Cotton James Coulombe Huiqing Li

#### **NCI CRDC**

Tanja Davidsen
Allen Dearry
Erika Kim
Zhining Wang
Jamie Guidry Auvil
Jay Ronquillo
Marcia Fournier

#### **NCBI**

Kurt Mac Daniel Kim Pruitt

#### **CFDE**

Lora Kutkat Haluk Resat Chris Kinsinger

### NIH Office of Data Science Strategy

Asiyah Lin Laura Biven Vivian Ota Wang



# Coordination WG's Responsibilities



- Serve as the NCPI Governance body
- Stewardship of the NCPI WGs activities
- Liaison with ODSS, OSP and other parts of the NIH

# Updates since the Fall 2020 workshop





## Asiyah Yu Lin, MD. PhD.

Asiyah Lin joined NIH as an ODSS supported DATA Scholar to work on the NCPI project. She has a background of Pediatrics, Immunology and Medical Informatics. Having worked for the FDA, start-ups and an NGS lab, Asiyah has +10 years experience in ontology-based data integration, analysis for biological and health data. She advocates leveraging ontologies for data interoperability and establishing knowledge eco-system for science and regulatory communities.

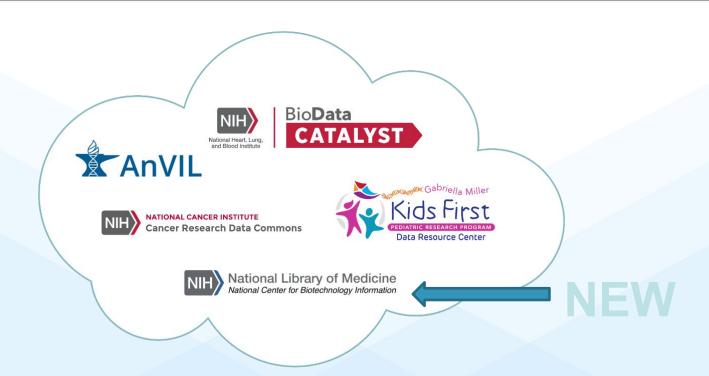






## **NCPI Onboarding New Members**







## **2021 Objectives – Supported by ODSS**



- Search and aggregate data across platforms. Enabling search of clinical data, studies, subjects, and samples through tools such as APIs to assemble cohorts across multiple sources for cross-dataset analysis.
- Perform outreach activities (portal, training, data dashboard) to ensure alignment with related efforts, engage users, and foster collaboration (internally across NCPI and with external efforts).
- Cloud costs estimation for analyses to enable researchers to budget for cloud costs and perform cost optimization.
- Cross-NCPI-platform workflow execution.
- Define guiding principles for technical interoperability and overcoming operational barriers.
- Ensure RAS/GA4GH Passport implements a common authentication and authorization mechanism across NCPI.



## NCPI Developers Access and NIH OSP



#### Question

 What mechanism the cloud platforms should employ to allow access by NCPI developers across the 4 platforms?

#### **Issues Discussed**

- Developer definition
- Mechanisms of developer access (request vs whitelist)
- Data use restrictions
- Upholding participant protections and privacy
- Upholding transparency on who access the data
- Publication restriction

#### **Next Steps**

OSP to draft proposal for developers access to send to NCPI Coordination WG for



## From 2020 Principles to 2021 Considerations



Five Principles for Interoperating Data Platforms

Version C April 8, 2020

Over the last few years, a growing number of cloud-based data platforms have been developed that provide the research and translational community with access to data that is integrated with computational resources, services and workspaces, as well as knowledge resources, semantic services and AI services.

As the number of these platforms grows, it is becoming critical to establish some operating principles so that platforms can interoperate, allowing researchers to access, explore and integrate data from multiple platforms.

	dbGaP Model	GDC Model	CRDC	BDC	AnVIL	KF
Status	reviewed	reviewed	under review	reviewed	reviewed	reviewed
User Auth	dbGaP	dbGaP	dbGaP	dbGaP & white list	dbGaP, white list, DUOS	dbGaP & white list
Environment Authorization	Signing Official who has the legal authority to attest to the organization's CIO's data security assessment "dbGaP Model"	Signing Official who has the legal authority to attest to the organization's CIO's data security assessment "dbGaP Model"	SBG, Terra & ISB are authorized environments; need to get list of other authorized environments	Institute CISO	Broad CISO approves ISAs for connecting to AnVIL; and, AnVIL uses dbGaP model for data that is downloaded	Research organization's IT Director
Data access (aka 'egress') by another cloud platform	Any platforms authorized by researcher's organization (via dbGaP) "dbGaP Model"	Any platforms authorized by researcher's organization (via dbGaP) "dbGaP Model"	to be determined	Data cannot leave BDC Platform.	Restricted to platforms with an ISA with AnVII.	Any platforms authorized by researcher's org. (via dbGaP)
Data Egress - "download"	Any platforms authorized by researcher's organization (via dbGaP) "dbGaP Model"	Any platforms authorized by researcher's organization : (via dbGaP) "dbGaP Model"	Any platforms authorized by researcher's org. (via dbGaP)	Data cannot leave BDC Platform.	dbGaP model for downloaded data	Any platforms authorized by researcher's org. (via dbGaP)
API	archive can be downloaded, but no API to data	All data is available via an API	Data objects available via API; CCDH and CDA will provide access to clinical data	API within BDC for data objects and harmonized data (in the future APIs for multiple data models); PicSURE API for clinical/Phen.	API within AnVIL for data objects and harmonized data (in the future APIs for multiple data models)	All data is available via Gen3/portal APIs. Gen3 for genomic data. FHIR API for clin/phen Q3 2021.
Frust relationships	NA	open to any auth. env.	need to determine	need to determine	need to determine	need to determine

Five Principles
April 8, 2020
Approved



White Paper with Table of Platforms & their Auth. Env. Oct 23, 2020



Two
Considerations
April 23, 2021
Draft

focus since the last meeting

From Grossman & Ahalt



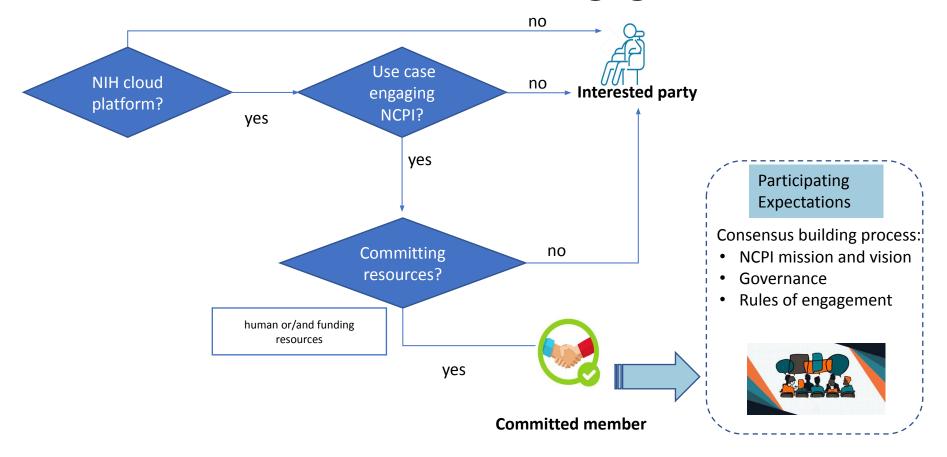
## NCPI "Rules of Engagement"



#### 5 proposed criteria:

- 1. Agree to the NCPI principles and interoperability "considerations"
- 2. Willing to test, adopt and/or extend NCPI technology specifications
- 3. Identify interoperability use case when entering the collaboration
- 4. Commitment to participate in WGs
- 5. Share, open communication, transparency

## A Decision Tree for Initial Engagement





# Status of Y2 Goals (from Oct 2020 Wrkshp)





- Host NCPI all hands workshops every 6 months
- Pursue additional funding support for NCPI activities



- Identify and agree upon next year's priorities and milestones
- Implement interoperability principles
- Continue collaboration with RAS
- Solidify collaboration with GA4GH work streams



- Offer training opportunities for outside investigators
- Share best practices for platforms interoperability across NIH

#### Goals of this meeting



#### What?

Identify 2-4 use cases/collaborative projects to demonstrate interoperability among 2 or more resources

- 6 12 month timeframe
- Concrete
- Support real science
- Solve low hanging fruit issues
- Identify specific asks of NIH (How does NIH want to do X or handle Y?)

#### How?

For each use case identify responsible working group and individuals



Jonathan Kaltman NCPI Oct 2019

## **THANK YOU**



NIH NCPI Coordination WG

• NCI, NHGRI, NHLBI, CF, NLM, ODS

All NCPI Members







# Working Group Update Community and Governance Working Group

Robert L. Grossman
University of Chicago

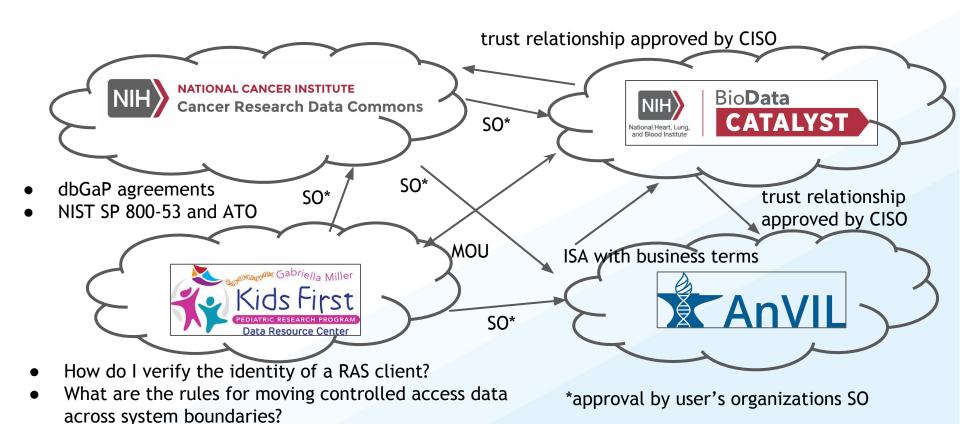
Stan Ahalt RENCI





# Where We Are Today







## From 2020 Principles to 2021 Considerations



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Version C April 8, 2020

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Proposed Considerations for Interoperability of Cloud Platforms Draft B-2-2

April 8, 2020 Approved



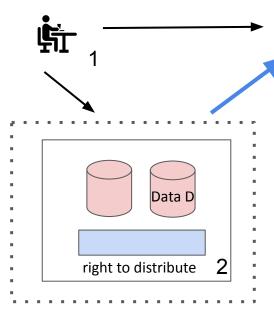
White Paper with Table of Platforms & their Auth. Env. Oct 23, 2020



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Draft

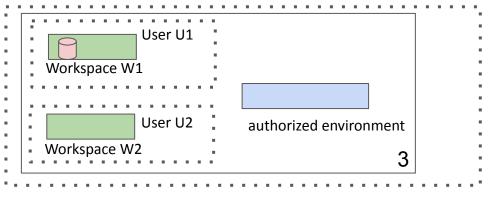
focus since the last meeting

## Four Key Concepts



Cloud Platform A boundary





Cloud Platform B boundary

- 1. A **user is authorized** to access a dataset
- 2. A cloud platform A has the **right to distribute** a particular dataset.
- 3. A cloud platform B is an **authorized environment** for a particular dataset.
- 4. Each dataset has a **data trustee** (aka **data steward**) that makes decisions about 1), 2) and 3)

We have **interoperability** when an authorized environment can access data from two or more cloud platforms.

Workspace for user

Security and compliance boundary

## **Authorized Environments**



#### Authorized environment -

- New concept in our October 2020 White Paper
- Example, for a cloud platform, the Institute's CISO can authorize an environment,
   say by approving an ATO for FISMA Moderate environment
- Example, with dbGaP, the organization's IT Director through the organization's SO authorizes an environment for data downloaded from dbGaP
- Decisions about authorized environments can be based on the sensitivity of the data.
- Authorized Environment Principle authorize environments and authorize users and trust the authorizations
- We have interoperability when an authorized environment can access data from two or more cloud platforms.

Platform	Data Auth Determination	Data Trustee	System Trustee	Right to Distrib Gov	Auth Env Gov	Data Egress
NCBI dbGaP	NIH DAC	NIH	NIH	NIH Owned / Operated	End user's Signing Official	Yes
NCI CDRC	NIH DAC	NIH	NIH	NCI ATO & NIH Trusted Partner	NCI ATO and/or End user's Signing Official	Yes
CF Kids First	NIH DAC	NIH	NIH	NCI ATO & Trusted Partner	End user's Signing Official	Yes
NHLBI BioData Catalyst	NIH DAC	NIH	NIH	NHLBI ATO	NHLBI ATO or NHLBI trusted env.	Yes, but not encouraged
NHGRI The Anvil	NIH DAC	NIH and Awardee	Awardee	Awardee via NHGRI Coop. Agreement & NIH Designated Data Repo.	Awardee ATO requires ISAs w/ business terms	Yes, but not encouraged
Table 5. This table sh	lows the proposed ba	isis for granting a	loud platform	he right to distribute co	pntrolled access d	atasets.

	e are close to interoperability for
se	veral of the NCPI cloud platforms:
	The Working Group participants
	have all agreed on key terms and
	concepts, such as right to
	distribute, authorized
	environments, and data
	trustees/stewards.
	The data steward/trustee (NIH or
	grant awardee) must simply agree
	that two or more cloud platforms
	are authorized environments. We
	have included a sample memo for
	this purpose.
) <u>.</u>	There are still differences being

	have included a sample memo for
	this purpose.
3.	There are still differences being
	discussed i) approval by SO
	and/or ATO; ii) specific security
	requirements; iii) standard ISAs;
	iv) what about inclusion of liability
	& related business requirements.
	·

To: From: Date: Re: This is to recognize the following cloud platforms as authorized environments so that users who have been authorized by dbGaP, RAS, or other approved authorized mechanism to access a dataset can explore and analyze the data in the authorized environment and [fill in with cloud platforms that have the right to distribute data] has approval to distribute the data to the authorized environment. **Authorized Environments:** 

Authorized Environment Type Date
ATO issued by [fill in]
ATO issued by [fill in]
Approved by [fill in]
Approved by [fill in]

#### **Authorized environments**

Institute/Center	Proposed Authorized Environments	Proposed Basis for Approval
NCI	SBG, Terra, ISB Cloud Platform, Gen3 + Any platform approved by the end-user's Institutional Signing Official, per the terms of the DUC & these guidelines	IC-CISO-FISMA-Moderate-ATO and/or SO-approved
NHGRI	Terra, Gen3	Org-CISO-NIST-800-53-approval; specifically, approval by Broad CISO, with the requirement of an ISA between AnVIL and the platform
NHLBI	Terra, SBG, Gen3	IC-CISO-FISMA-Moderate-ATO
Kids First Program	Any platform approved by the end-user's Institutional Signing Official, per the terms of the DUC & these guidelines	SO-approved

Table 2. This table shows the proposed basis for approving an environment as an authorized environment.

#### Right to distribute

Institute/Center	Proposed Platforms that can distribute data	Proposed Mechanisms
NCI	Approved CRDC platforms	Approval as a NIH Trusted Partner to distribute controlled access data.
NHGRI	AnVIL/Terra	Approval by Broad CISO, with the requirement of an ISA between AnVIL and the platform
NHLBI	BioData Catalyst	Approval by NHLBI CISO
Kids First Program	Bionimbus Gen3 for controlled access data	Approval as a NIH Trusted Partner to distribute controlled access data.

Table 3. This table shows the proposed basis for granting a cloud platform the right to distribute controlled access datasets.



## **Active Discussion Issues**



- Framework for authorizing environments:
  - dbGaP Data Use Certification with User's Signing Official (SO) with recommendation from IT Directory as formalized by dbGaP
  - or NIST SP 800-53 Moderate ATO
    - ATO from Institutes / Centers
    - ATO from third party
  - or, presumably, both?
- Working on standardized ISAs.
- How do we interoperate USG and third-party systems operated by awardees?
- Can we start with decisions about less sensitive data?



## Next Steps



- We have broadened the NCPI Community / Governance discussion to include security specialists, which have started to discuss specific NIST 800-53 security requirements.
- We are looking forward to feedback about our draft considerations from a broader audience to gain additional feedback and identify any additional concerns.



## NIH Workshop on Cloud-Based Platforms Interoperability



# Questions



## NIH Workshop on Cloud-Based Platforms Interoperability



# Systems Interoperation WG updates

Jack DiGiovanna\*
Seven Bridges

**Brian O'Connor**Broad Institute









```
;;;;Nicole Bolliger (Broad);;;Gina Kuffel (UChicago); Garrett Rupp (SB);;Jessica Lyons (HMS);;;David Pot (GDIT);;;Kurt Rodarmer (NCBI);;Jonas Almeida (NCI);;;Bill Longabaugh (ISB);;;Jay Ronquillo (NCI); Erika Kim (NCI);;;Allen Dearry (NCI);;;;Asiyah Lin(NIH/HGRI);;;;John Cheadle (BDC);;;;Amanda Charbonneau (CFDE); Michael Lukowski (U Chicago); Lynette Lilly (UChicago); Brian Walsh (OHSU);;;;;
```

: Maia Nguyen (CHOP); Bill Longabaugh (ISB-CGC); Anton L); ; Jay Ronquillo (NCI); Jonas Almeida (NCI); ; ; Michael ago); Binam Bajracharya(UChicago); ; ; ; ; Kate Herman (Broad) ubramanian (SB); Garrett Rupp (SB); Jessica Lyons (HMS); MS); Michael Baumann (Broad); ; Alex VanTol (UChicago); Chicago); Binam Bajracharya(UChicago); ;; hmi Subramanian (SB); Garrett Rupp (SB); Jon (HMS); Michael Baumann (Broad); ; Alex

;;; Robert L. Grossman (UChicago); Gina Kuffel (UChicago);;;;;;; Amanda Charbonneau (CFDE);; John Cheadle (BDC); Bill Longabaugh (ISB); Valentina Di Francesco (NHGRI);; Asiyah Lin (NHGRI);;; Sai Lakshmi Subramanian (SB); Stephen Mosher (JHU);; Natalie Kucher (NHGRI);; Michael Lukowski (U Chicago);; Nicole Bolliger (Broad); Jay Ronquillo (NCI); Jonas Almeida (NCI); Kurt Rodarmer (NCBI);; Pauline Ribeyre (UChicago); Lynette Lilly (UChicago); Alex VanTol (UChicago);Brian Walsh (OHSU); Danielle Pillion (PIC-SURE), Jason Stedman (PIC-SURE); Jessica Lyons (PIC-SURE)

;;;;;; Mark Jensen (FNLCR);; Bill Longabaugh (ISB); John Cheadle (BDC);;; Jason Stedman(HMS);; Valentina Di Francesco (NHGRI);;; Michael Lukowski (U Chicago);; Sai Lakshmi Subramanian (Seven Bridges);; David Pot (GDIT);;;; Nicole Bolliger (Broad); Allen Dearry (NCI); Jay Ronquillo (NCI); Jonas Almeida (NCI);; Pauline Ribeyre (UChicago); Alex VanTol (UChicago); Garrett Rupp (Seven Bridges); Jiaqi Liu (UChicago); Binam Bajracharya(UChicago); Jessica Lyons (HMS); Danielle Pillion (HMS);

#### **OVERVIEW**

Connected Data

Use Cases

**Tech Successes** 

Lessons Learned & Next Steps



### Diverse users can co-analyze data to drive science



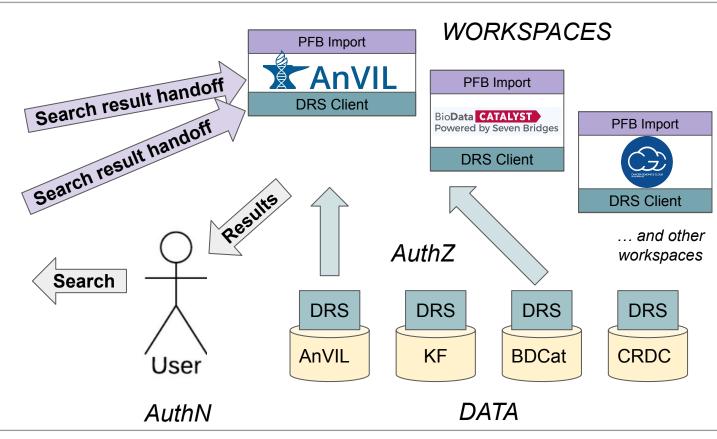
#### **PORTALS**







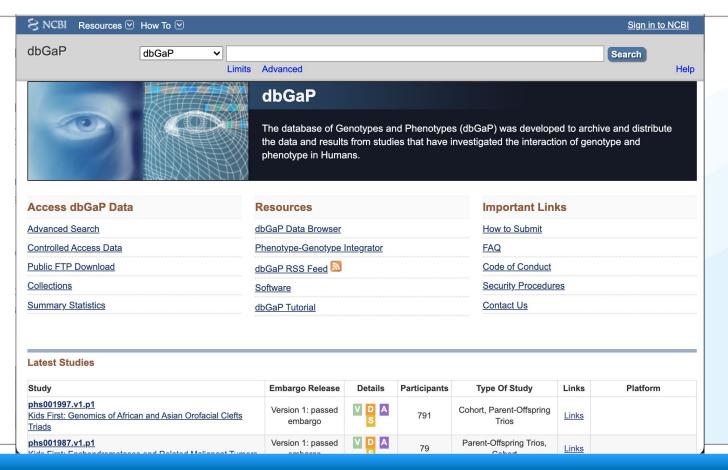






#### dbGaP is the source of truth for authorization

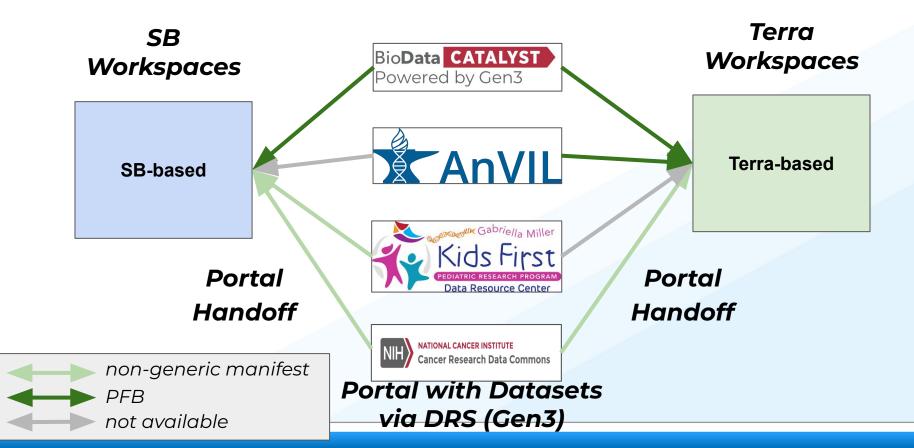






## **Connectivity: Fall 2020**

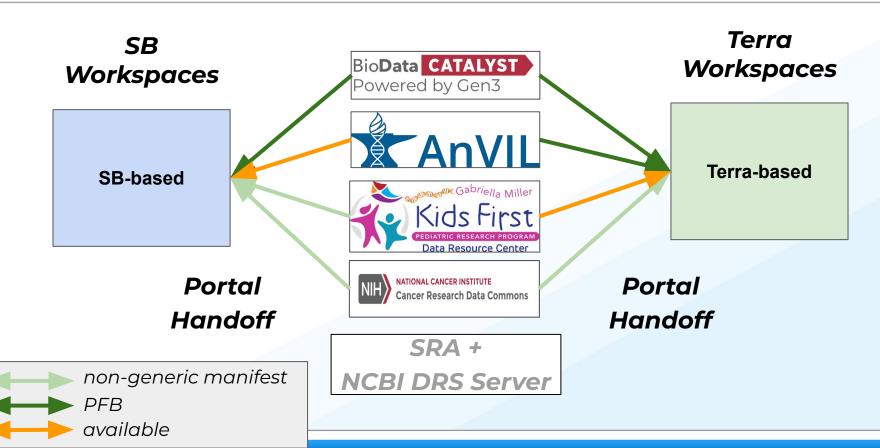






## Today all four portals connect to Terra & SB workspaces





### Overview

#### **CONNECTED DATA**

Use Cases

**Tech Successes** 

Lessons Learned & Next Steps



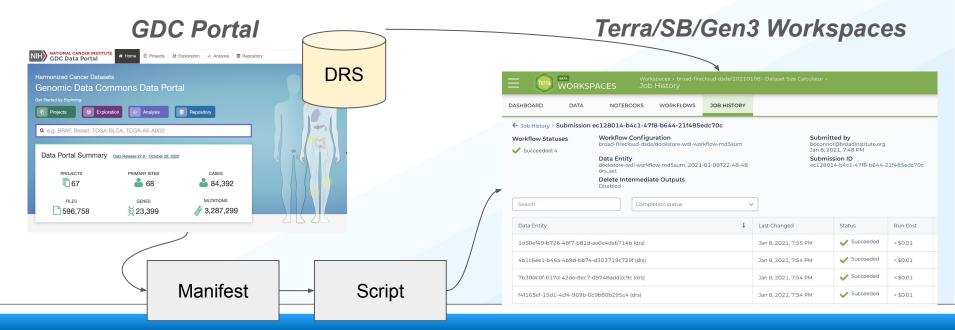
### <u>Cancer Research Data Commons</u> Genomics Data Commons Portal -> Workspace



<u>Prototyped</u> a process to convert GDC manifests to workspaces

Interest from **GDC** to develop a PFB-export functionality

Also started discussions with **other CRDC** Data Portals







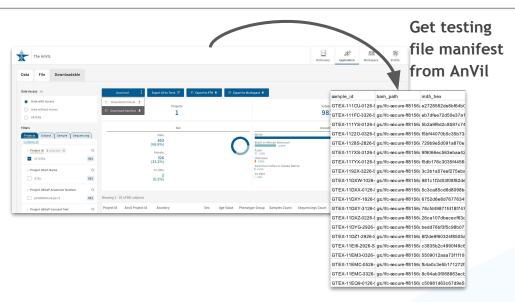
**Goal:** Evaluate the functional equivalence for the RNA-Seq Pipeline between Kids First and GTEx.

#### Steps:

- → Define testing GTEx cohort
- → Download raw data to CAVATICA via AnVIL Gen3
- → Run Kids First RNA-seq pipeline
- → Compare with V8 results

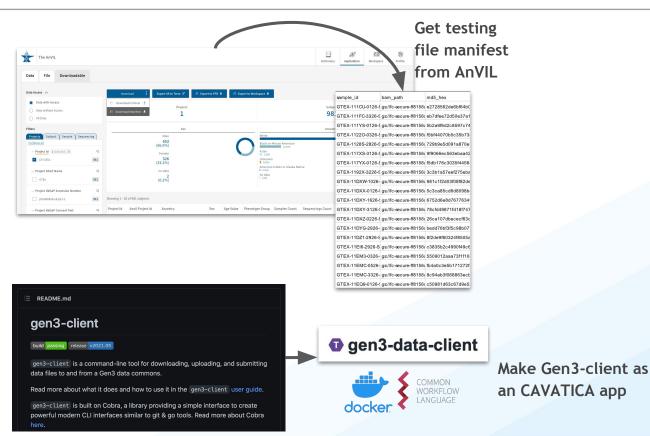






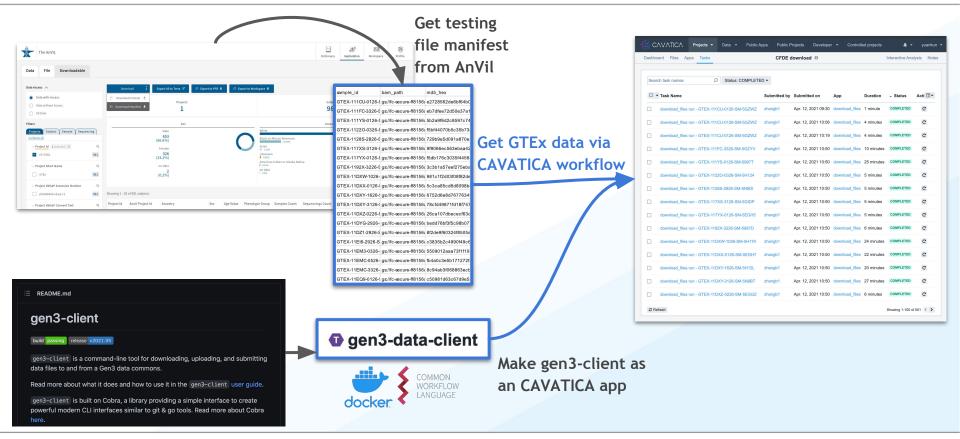










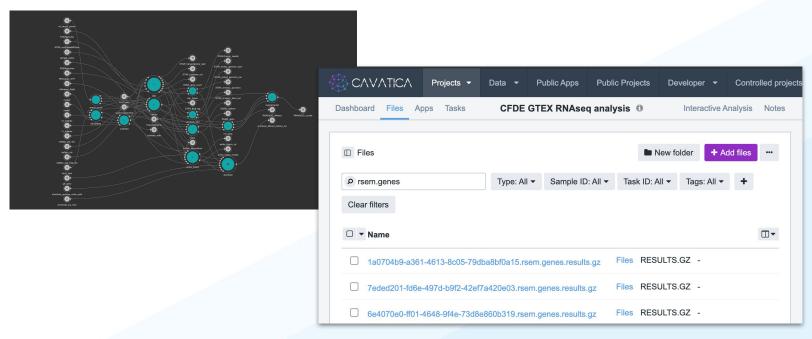






#### Kids First RNA-Seq Pipeline

STAR-2-Pass → RSEM/STAR-Fusion/Arriba





### **AnVIL & BioData Catalyst** Gen3 Portal push to Terra, SB, or Gen3 Workspaces

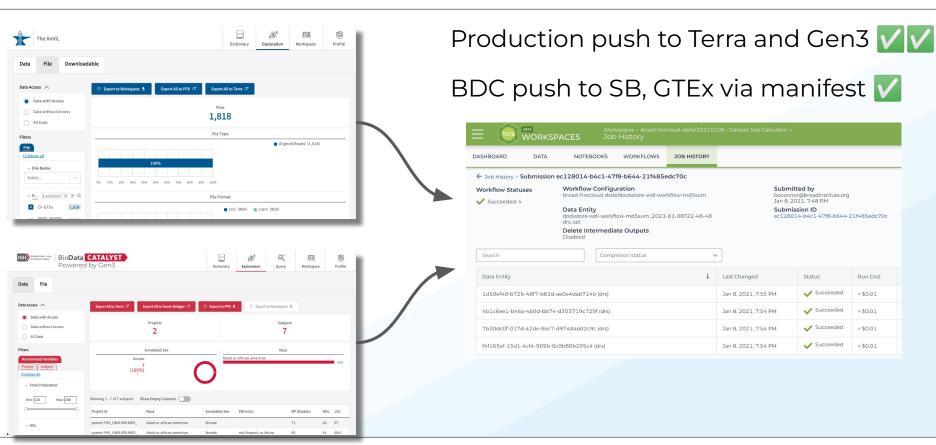


Run Cost

< \$0.01

< \$0.01

< \$0.01





# All the data! TOPMed, KidsFirst, TCGA, GTEx all in one workspace



shbo	ard Files Apps	Tasks		≜ co	NTROLLED NCPI	Demo 0			Interactive Ana	alysis Setting	gs Not
D Fil	es								New folder	+ Add file	es ···
ρ Se	earch		Type: 5 selected	Sample ID:	All ▼ Task ID:	All ▼ Tags: All	+	Clear filters			
□ •	Name						Size	e ^ Inv	estigation		□▼
	■ FHS NWD96331		am			19.7	7 GiB	FHS		18	8652.0
	■ FHS NWD96331		am.crai			1.2	MiB	FHS		18	8652.0
	<b>■ DRS</b> 0a1d916fba	6344c89b8e19	d89e19c9a1.bam			47.8	3 GiB	Genomic Studie	es of Orofacial Cleft Bi	rth Defects -	
	<b>■ DRS</b> dded5a7ef1	15042f081fe9b	19205f79cb.bam			46.0	) GiB	Genomic Studie	es of Orofacial Cleft Bi	rth Defects -	
	<b>■ DRS</b> 409cecea-6  PHS000178 TCGA-CA		-fa58bcb018d5.vd	f.gz		172	.8 KiB	TCGA-ACC		-	
	<b>DRS</b> 67d4aa07-3		-516fefe62f27.vcf	.gz		35.1	l KiB	TCGA-ACC			
	<b>DRS</b> be5a3111-b		3-2393099ee525.v	ep.vcf.gz		169	.5 KiB	TCGA-ACC		-	
	GTEx_Analysis_2017	7-06-05_v8_Anı	notations_Sample	AttributesDS.txt		14.8	3 MiB	-		-	
	GTEx_Analysis_2017	7-06-05_v8_Wh	oleExomeSeq_97	9Indiv_Lookup_Ta	ble.txt	46.5	5 MiB	÷		-	
<b>∂</b> Ref	resh								5	Showing 1-14 of 1	4 < >

Tim will also show this tomorrow.

Quick Demo!



# Current user experience: TOPMed, KidsFirst, TCGA, GTEx all in one workspace



Placeholder - drop in flow

All the data, but all the auth!

- eRA Commons (6x)
- Gen3 AnVIL API key
- BDC + CAVATICA auth\_tokens

Fortunately, all of these systems also talking to RAS

### Overview

Connected Data

**USE CASES** 

**Tech Successes** 

Lessons Learned & Next Steps



## **Systems Interop WG mission**



The group will spearhead <u>technical improvements</u> to cloud "stacks" created by the Common Fund, NCI, NHGRI, and NHLBI that enable improved interoperability. We will <u>demonstrate progress</u> in <u>realistic researcher use cases</u> every <u>6 months</u>.

Want more info? Check out the WG charter. Iff you are interested, please join.

### **Goals of these updates**



Project info is **fresh** 

**Blockers** identified

Outcomes **curated** for NCPI biannual meetings

New WG members onboarded



Image credit: Adrian Black on Flickr



#### **Current Use Cases**





			7
LEAD	ONE-LINE SUMMARY	STATUS	
Gelb	PCGC (BDC, KF) de novo mutations with graph callers	Inactive	
Grossman	<b>PCGC</b> (BDC, KF) & Vandy AFib joint calling, annotation, and GO enrichment; interop/tech focus	Active	
Gharavi	GTEx (AnVIL, KF, BDC) find datasets as healthy controls	Active	K
Lyons	User journey from PICSURE-API to Platform (TOPMed) for variant level info	In Prep	
Stranger	TCGA, GTEx (CRDC, AnVIL) sex-DE on normal & tumor	Inactive	
Manning	PCGC, GTEx, F/JHS (BDC, KF, AnVIL) genetic factors in CHD	Active	
Almeida	IDC (CRDC) tile server for autoML image analysis; bearer token auth	Active	
Goldmuntz, Taylor, et al.	PCGC (BDC, KF) joint calling, harmonization, gene set analysis + ML	Active	

Overview

Connected Data

Use Cases

**TECH SUCCESSES** 

Lessons Learned & Next Steps



#### PFB, FHIR, or other approaches?



Many different manifests/ mechanisms to describe cohorts

**Simple manifest** → optimized & performant (great!), not self-describing so difficult to generalize

**FHIR/Bulk FHIR** → standard (great!) and future direction but will take time for systems to build full FHIR clients. Works well if your data maps

**PFB** → generic manifest, self-describing (great!), based on an open standard (Avro, great!), and easy step up to support from manifests

QUESTION: Sweet spot between manifests and FHIR?

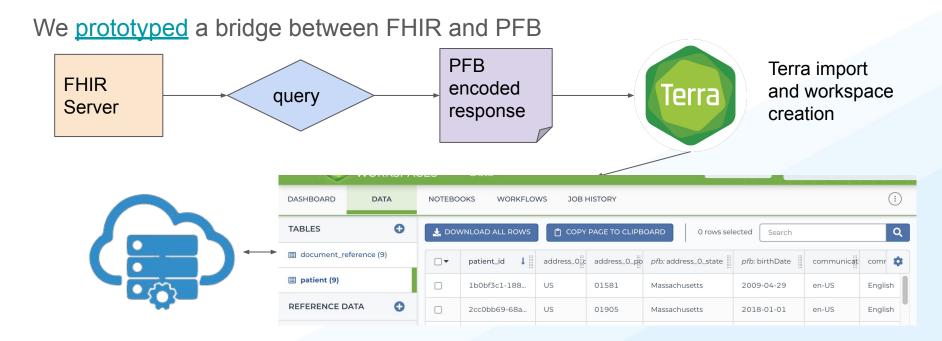
Future Question: where does the ga4gh selection-object fit?

### Goals for FHIR -> PFB experiment

- Goal: provide a connection from AnVIL, BDCat, CRDC, and GMKF portals to workspaces like Terra, SBG, and Gen3. Provide an interim path to use other FHIR servers without developing FHIR clients first.
- AnVIL and BDCat portals support PFB → Workspace handoff
- Kids First Data Portal has a FHIR server
- Can we use FHIR → PFB as a handoff mechanism?
  - Make this generic
  - Useful for multiple FHIR servers beyond GMFK (dbGaP, AnVIL...)
  - Ensure this is scalable, deployable by others, web service based

#### Prototyped a bridge from FHIR -> PFB

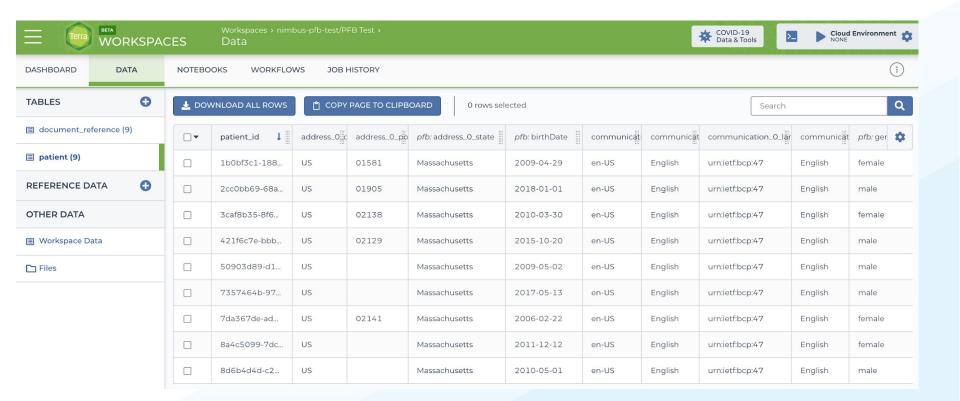




Useful since it bridges the queries FHIR affords to the workspace environments (AnVIL, BDCat, CRDC) that offer compute on data.



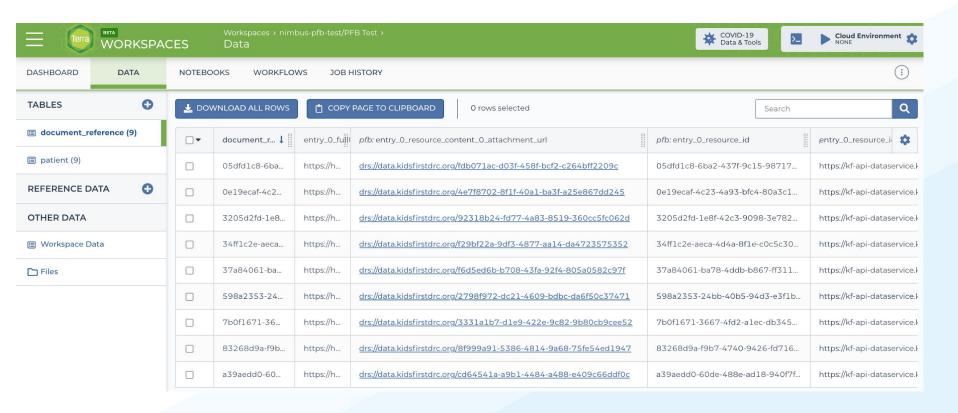
#### Patient table





#### Reference table









National Institutes of Hea	alth	
NIH Researcher Au	nth Service (RAS) Sign In	
Username		
Password	Forgot Password?	
Sign in	on login	
Smart Card Holder? Sign in	ı with PIV Card.	
Trouble signing in?		

# All systems completed Milestone 1

Milestone 2 in progress

Spirited discussion and efforts on Milestone 3 design

#### **DRS for CONTROLLED DATA**

- LLED DATA
- DRS 1.2 is an upcoming public interface standard
- RAS standard for authorization is the GA4GH passport
- Passport authorizes authenticated user to access content

- No common token system (OAuth/OIDC/GA4GH/RAS) authenticates client system
- DRS uses a Clearinghouse that is tightly bound to source of authority

#### **DRS for CONTROLLED DATA**

- User authenticates through RAS
- Passports issued by RAS or known brokers contain RAS visas
- Passports delivered to DRS via POST to increase success rate

- DRS validates passport via controlled-access Clearinghouse
- DRS ultimately returns a URI to access resource

Overview

Connected Data

Use Cases

**Tech Successes** 

**LESSONS LEARNED & NEXT STEPS** 

#### **Lessons learned - technical**



We started with a very strict user definition to **build a solution for the** largest audience. We had a relax this assumption temporarily

PFB/Avro manifests are promising, but there's no free lunch

A single AuthN/Z would simplify development and improve UX

# **Lessons learned - humans**



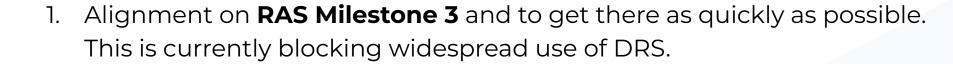
It's extremely difficult to engage the Sys Interop audience

- Attending defensively to ensure things don't go off course but lacking funding / resources / time to drive the boat?
- Some other blocker?

We are going to reach out to individual groups to present - increase information flow, spark collaborations

Request to understand funding; make contributing to NCPI Sys Interop a deliverable of future funding; help researchers get credit for success

#### Calls to action



- 1. We need active use cases now that policy blockers have been removed and technical blockers are reducing
  - a. If Data Portals have active user communities seeking additional analysis capabilities, **help build functionality** to participate.

#### Summary



All Portals have a path to all workspaces

Resolved most technical concerns identified in last meeting

Two use cases have completed successfully, other in development - we need more engaged researchers.

#### What's next:

- Near-term:
  - Using (equivalent) tools on multiple platforms
  - Connect with NCBI DRS Server
- Mid-term: Stay tuned for the *Future of Interop* talk tomorrow
- Please provide your feedback it will influence our roadmap



# **NCPI FHIR WG Update**

NCPI Spring 2021 Workshop

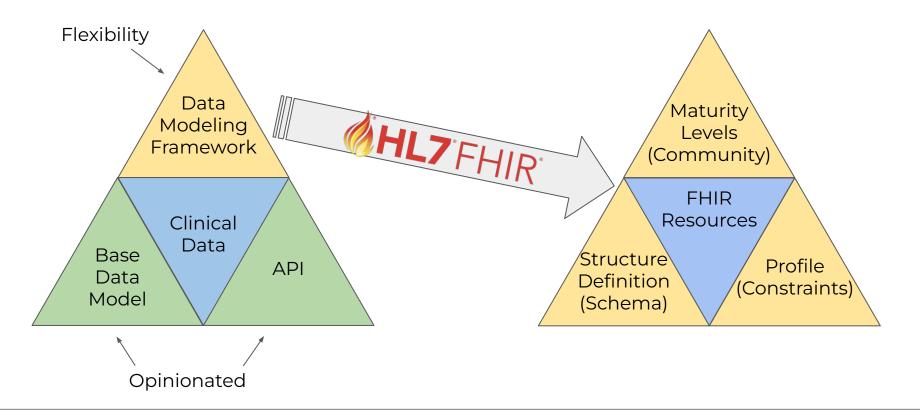
May 3, 2021

Allison Heath (CHOP) Eric Torstenson (VUMC)



# Where We Left Off Last Time: Framework for Clinical Data Interoperability





#### **Focus of Last Six Months**



#### NCPI Implementation Guide Development

- Use Case Gathering
- Profiling
- Utilizing FHIR Shorthand (FSH)

#### Path Towards Production

- Server evaluation
- RAS and Controlled Access

#### Tooling and Initial Utilization

- PIC-SURE bulk FHIR import
- PFB to FHIR
- NCPI Dashboard

#### What are FHIR Implementation Guides?



- Implementation Guide (IG): set of rules about how FHIR resources should be used to solve a particular problem
- FHIR describes a general set of capabilities to solve many data exchange problems
- FHIR IGs describe how FHIR is used in particular contexts
  - Jurisdiction Base:
    - US Core FHIR Profiles: <a href="http://hl7.org/fhir/us/core/">http://hl7.org/fhir/us/core/</a>
  - Application Solution:
    - Bulk Data Access (Flat FHIR): <a href="http://hl7.org/fhir/uv/bulkdata/">http://hl7.org/fhir/uv/bulkdata/</a>
  - Domain Guide:
    - Clinical Genomics Reporting: <a href="http://build.fhir.org/ig/HL7/genomics-reporting/">http://build.fhir.org/ig/HL7/genomics-reporting/</a>
- Registry of IGs: <a href="http://www.fhir.org/guides/registry/">http://www.fhir.org/guides/registry/</a>

# NCPI FHIR IG v0.1.0 - Key Use Cases



#### Representing Research Studies

- ResearchStudy, ResearchSubject
- DRS Document Reference

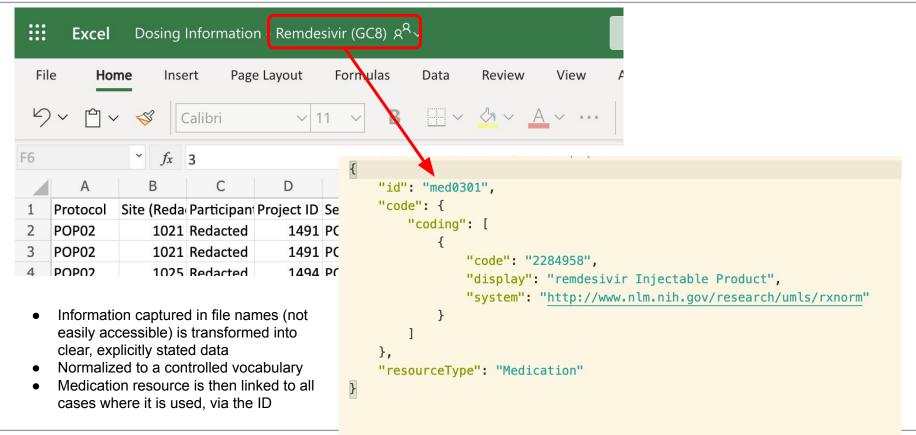
#### Rare Diseases

- NCPI Phenotype, NCPI Disease, NCPI Family Relationship
- Childhood Cancer
  - NCPI Phenotype, NCPI Disease, NCPI Family Relationship
- Existing Study Data
- EHR Data
- Draft



#### **Existing Study Data - CARING Example (POPS)**







## **Existing Study Data**

Project ID	Segment	FLACC predose not assess	FLACC predose dt (Redacted to	
1491	POP02- Active	Not assessed	:	1
1491	POP02- Active	Not assessed	:	1
1506	POPO2- Active	Not assessed		n

- Pain scale assessment as a Observation
- Choose appropriate controlled vocabulary
- Provide context for the measure (reference

```
"referenceRange": [
    "high": {
      "code": "{score}",
     "system": "https://ucum.org/trac",
      "value": 10
    },
    "low": {
      "code": "{score}",
      "system": "https://ucum.org/trac",
      "value": 0
"status": "final",
"subject": {
  "reference": "Patient/001"
"valueQuantity": {
 "code": "{score}",
  "system": "https://ucum.org/trac",
  "value": 0
"code": {
  "coding": [
      "code": "38215-0",
      "display": "Pain severity total Score FLACC",
      "system": "http://loinc.org"
"resourceType": "Observation"
```



#### **Terminology Usage in NCPI IG**



#### 7.4.1 Resource Profile: NCPI Phenotype

Defining URL:	https://ncpi-fhir.github.io/ncpi-fhir-ig/StructureDefinition/phenotype
Version:	0.1.0
Name:	Phenotype
Title:	NCPI Phenotype
Status:	Draft as of 2021-04-29T15:00:34-05:00
Definition:	Representation of phenotypic observations (present or absent)
Publisher:	NCPI FHIR Working Group
Source Resource:	XML / JSON / Turtle



#### 7.10.1.2 Expansion

This value set contains 1880 concepts

Expansion based on Human Phenotype Ontology v0.1.0 (CodeSystem)



#### **FSH for IG Development**



- NCPI FHIR IG development using FSH and SUSHI
  - Easier to read, write, validate, and curate FHIR resources than with JSON/XML
  - Allows rapid and collaborative development with accessible tracking changes

```
"resourceType": "StructureDefinition",
"id": "ncpi-phenotype",
"url": "http://fhir.ncpi-project-forge.io/StructureDefinition/ncpi-phenotype"
"version": "0.1.0",
"name": "ncpi-phenotype",
"title": "NCPI Project Forge Human Phenotype",
"status": "draft".
"fhirVersion": "4.0.0",
"kind": "resource".
"abstract": false,
 "type": "Observation",
"baseDefinition": "http://hl7.org/fhir/StructureDefinition/Observation",
"derivation": "constraint",
"differential": {
  "element": [
     "id": "Observation",
     "path": "Observation"
     "id": "Observation.code".
     "path": "Observation.code"
     "binding": {
       "strength": "required",
       "valueSet": "http://fhir.ncpi-project-forge.io/ValueSet/phenotype-codes"
     "id": "Observation.valueCodeableConcept"
     "path": "Observation.valueCodeableConcept".
     "binding": {
       "strength": "required".
       "valueSet": "http://fhir.ncpi-project-forge.io/ValueSet/phenotype-observation-codes'
     "id": "Observation.interpretation".
     "path": "Observation.interpretation".
     "binding": {
       "valueSet": "http://fhir.ncpi-project-forge.io/ValueSet/phenotype-interpretation"
       JSON Profile (Project Forge)
```

```
Profile: Phenotype
Parent: Condition
Id: phenotype
Title: "NCPI Phenotype"

Description: "Representation of phenotypic observations (present or absent)"

* ^version = "0.1.0"

* ^status = #draft

* code from phenotype-codes (required)

FSH Profile (NCPLIG)
```

#### **IG Development on Github**



- A pre-release IG is available via <u>GitHub Pages</u>
  - Profiling
    - Condition >> Disease and Phenotypic Feature
    - Observation >> Family Relationship (Pedigree)
    - DocumentReference >> Data Repository Service (DRS) Document Reference
  - Use Cases
    - Research Representation
    - Rare Disease
    - Childhood Cancer
    - EHR Data
  - Background
    - FHIR Relevance
- Feedback is welcome at the <u>repository</u> (issues, PR requests, etc.)
- Hands on IG development group meets every other week

## **FHIR Server/Platform Evaluation**



- Multiple Servers/Platforms to be Tested
  - HAPI/Smile CDR, Google Healthcare API, Azure API for FHIR
- Test Suite Objectives
  - Common set of tests to run against any available FHIR platform allows clear differentiation between different platform offerings
  - Use case driven test suite and test data
  - Weighted test score provides easy mechanism to compare all tested platforms

#### Status

- Framework exists at <u>github</u>
- Tests/test stubs are laid out to follow the google doc
- Test data can be "imported" from bulk-export or be hand generated

#### Reports

- High-level (summary) <u>overview</u>
- Detailed/test level <u>overview</u>

# **Test Suite - Example Summary Report**

Module_Name	Test_ID	Score	Total_Possible_Score	Perc	#Tests_Passed	#Tests_Failed	#Total_Test_Count
Cap. Statement	2.1.1 - Resource Interaction	1.7777777777777777	2	0.8888888888888888	832	104	936
Cap. Statement	2.1.2 - Conditional Create, Upd & Del	4.44444444444445	5	0.888888888888888	104	13	117
Cap. Statement	2.1.3 - Search Includes	1.11111111111111112	2	0.55555555555556	65	52	117
Cap. Statement	2.1.4 - Resource Search Params	0.717948717948718	2	0.358974358974359	42	75	117
Cap. Statement	2.1 - Cap. Statement (Summary)	8.051282051282051	11	0.8104118104118104	1043	244	1287
Search	2.2.1.1 - Core FHIR Search	15.0	15	1.0	1	0	1
Search	2.2.1.2 - Search Modifiers and Prefix	0.0	15	0.0	0	4	4
Search	2.2.1.3 - Hierarchical Search	0.0	15	0.0	0	2	2
Search	2.2.1.4 - Chaining	0.0	8	0.0	0	2	2
Search	2.2.1.5 - Reverse Chaining	0.0	5	0.0	0	2	2
Search	2.2.1.6 - Missingness	0.0	8	0.0	0	1	1
Search	2.2.1.7 - Composite Search	0.0	9	0.0	0	2	2
Search	2.2.1.8query	0.0	8	0.0	0	2	2
Search	2.2.1.9.1 - Sorting	0.0	3	0.0	0	2	2
Search	2.2.1.9.2 - Paging	0.0	8	0.0	0	2	2

#### **Summary and Next Steps**



#### Refining NCPI IG

- Use case and background documentation
- Guidelines on using existing FHIR resources
- Terminology selection
- GA4GH pedigree cross-informing

#### Platform Specific FHIR Servers

- Kids First DRC (end of May, similar timeline for CARING)
- dbGaP
- AnVIL
- Continue to support NCPI FHIR "testbed" servers with KFDRC and synthetic data

#### Tooling and API Usage

- Interchange, Search, Mapping, and Provenance
- Prioritize based on emerging needs
- Integrations using Jupyter Notebooks and Shiny Apps in cloud workspaces



## **Thank You to All Working Group Members**



#### **Running Agenda**

Members Across:
Kids First DRC
AnVIL
BDC
NCI CRDC
NLM/NCBI
CFDE

#### **NCPI IG Contributors**

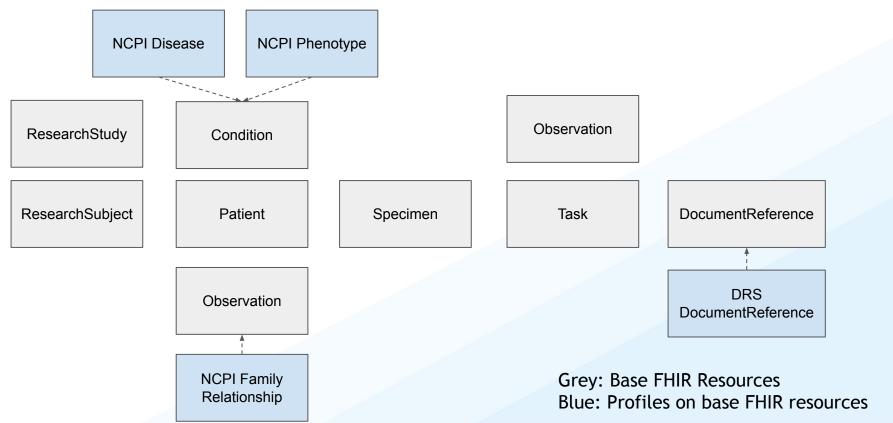
#### 2.1 Authors

Author Contact Robert Carroll Robert J Carroll C Shahim Essaid ShahimEssaid 4 allisonheath 2 Allison Heath fiendish [4] Avital Kelman liberaliscomputing [4] Meen Chul Kim Nicholas Van Kuren nicholasyk & Natasha Singh znatty22 2 Eric Torstenson torstees 🗗 Brian Walsh bwalsh [3]

# Questions?

## **Current NCPI IG Profiles**







# NIH Workshop on Cloud-Based Platforms Interoperability



# 30 Minute Break #1

We will resume at 1:00 pm EDT

#### Announcements

- Fall 2021 Workshop poll: tinyurl.com/NCPIfallpoll
- If you have not registered, please do: tinyurl.com/NCPIregistration
- The NIH Office of Data Science Strategy recently announced four Notices of Special Interest for supplemental funding: tinyurl.com/ODSSfunding



# NIH Workshop on Cloud-Based Platforms Interoperability



# Working Group Update NCPI Outreach

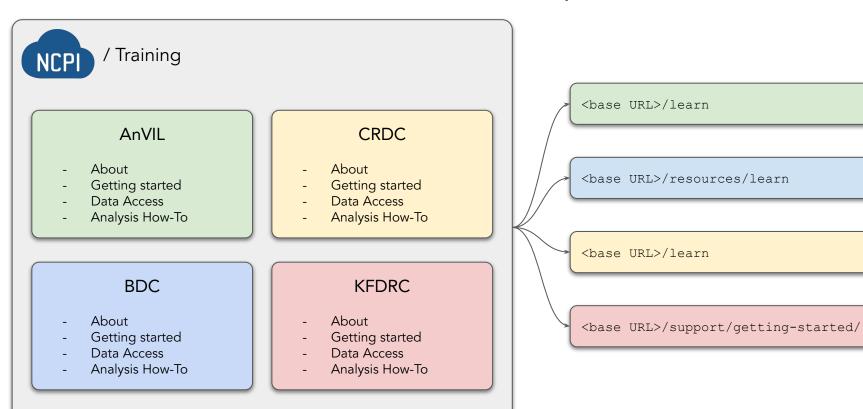
**Presenter #1 Dave Rogers** *UCSC* 

Presenter #2 Anton Nekrutenko
Penn State / Galaxy





# Training docs for each platform



# Outreach objectives

- Data dashboard

- Landing page for documentation

# Training docs for each platform | Steps

- Work with outreach person from each platform
- Identify common types of materials
- Develop tagging scheme à la:



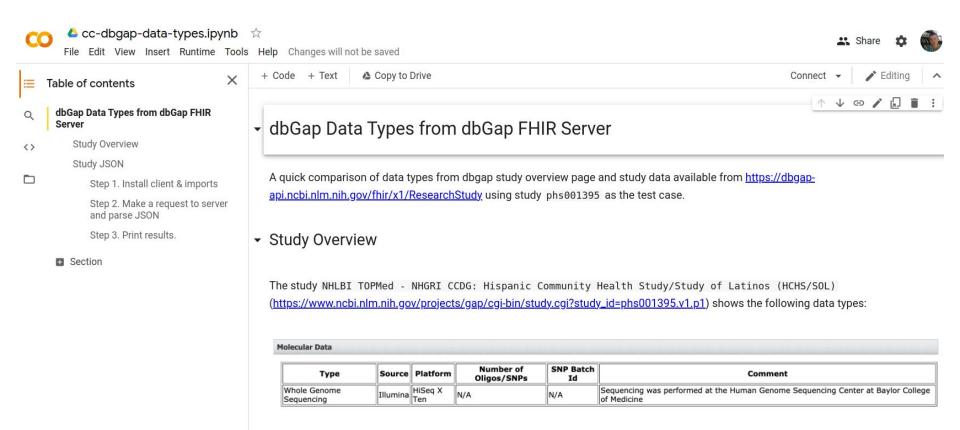
- Documentation by technology (Galaxy, Terra, Gen3, Jupyter, RStudio, 7B)
- PR #1036

Anton's Demo Here

# Global Data Dashboard | Current Status

- We received a list of datasets (a spreadsheet) from all resources
- At this point we are focused only on datasets with dbGaP identifiers
- Metadata about these datasets can be fetched via calls against dbGaP FHIR interface

# dbGaP FHIR



# Global Data Dashboard | The idea

NCPI					Overview Data	sets An\
Search Summary						
Platform			Studies		Subjects	
AnVIL			21		59,325	
BioData Catalyst			95		421,497	
Kids First Data Resource Center			4		3,523	
Cancer Research Data Commons			16		86,749	
			136		571,094	
Search Results						
Platform	dbGap Id	Title	Diseases	Data Types	Consent Codes	Subjects
AnVIL	phs001272.v1.p1	Broad Institute Center for Mendelian Genomics	Genetic Diseases, Inborn; Bardet-Biedl Syndrome	Genotype, SNP/CNV Genotypes (NGS)	HMB-MDS, GRU, DS-KRD RD, DS-NIC-EMP-LENF	- 1,031

Dave's Demo Here

# Many thanks to Outreach Group members and Dr. Asiyah Lin

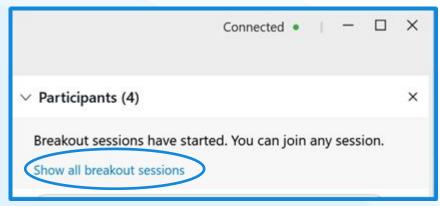


## NIH Workshop on Cloud-Based Platforms Interoperability



# Breakout Groups: 1:20-2:30pm EDT

Please choose a Breakout Group: You must use the WebEx application



From the main session



From within another breakout group



# NIH Workshop on Cloud-Based Platforms Interoperability



# 30 Minute Break #2

We will resume at 3:00 pm EDT

### Announcements

- Fall 2021 Workshop poll: tinyurl.com/NCPIfallpoll
- If you have not registered, please do: tinyurl.com/NCPIregistration
- The NIH Office of Data Science Strategy recently announced four Notices of Special Interest for supplemental funding: tinyurl.com/ODSSfunding



# NIH Workshop on Cloud-Based Platforms Interoperability



# NCBI's Journey in Support of a Federated Cloud Data Sharing Ecosystem

Mike Feolo (NCBI)

NCBI Resources in Support of a Federated Cloud Data Sharing Ecosystem

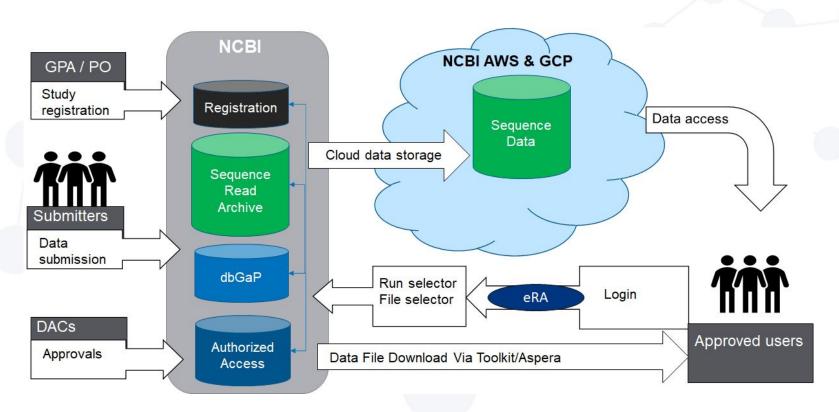
Mike Feolo Team Lead, dbGaP



# Overview

- □ NCBI's Controlled Access Data Sharing Architecture
- ☐ Study Registration
- ☐ Submission and Processing (dbGaP)
- Sequence Read Archive
- ☐ Request and Approval
- ☐ Data Access Tools/Services

# NCBI's Data Sharing Architecture (current)



# Study Registration

Who: NIH Genomic Program Administrators (GPAs), PIs

### What:

- Instantiation of study at NCBI
- OMB / PRA Approved form
- Certification
- Consent / Use Restrictions
- Genomic Summary Results
- Data Access Committee designation
- Top Level Data Storage Access Information





# Study Registration

### **Current Interactions with NCPI:**

- Consent groups are established in this system
- Configuration of Data Storage details
  - GPA configures each study on registration
  - Curation path
  - Approval letters

### **Future Interactions with NCPI:**

- API Access to system information
- Grant Compliance Reports





# Submission and Processing (dbGaP)

**Who:** Study Investigators, Data Coordinating Centers (DCCs), Sequencing Centers

### What:

- QA/QC, Study Accessions, Configures Release for
  - Study Metadata
  - Subject/Sample ids
  - Phenotype Data
  - Molecular Data
  - Analyses, Documents, and Images





# Submission and Processing (dbGaP)

### **Current Interactions with NCPI:**

- Study Metadata and Sample Accessioning
  - BioProject and BioSample are shared in INSDC
- Various Existing Telemetry Reports
- dbGaP-on-FHIR See: <a href="https://anvilproject.org/ncpi/data">https://anvilproject.org/ncpi/data</a>

### **Future Interactions with NCPI:**

- API for programmatic access to metadata, data and Information
- Build out FHIR sever to deliver "observation" level phenotype data
- Configure all data on the Cloud with "RAS enabled" access



# **Authorized Access System**

**Who:** Requesting Investigators, Signing Officials (SO), Data Access Committee (DAC) members

### What:

- System to Request Data
  - Research Use Restrictions (consents)
  - Annual Reporting / Closeout
- Data Access Request (DAR) Review
- Gatekeeper of the NCBI-managed authorizations





# Authorized Access System

### **Current Interactions with NCPI:**

Access Telemetry Reports (aka whitelists)

### **Future Interactions with NCPI:**

- Researcher Auth Service (RAS; more about this later)
- Coordination of versioning and release signals



# Sequence Read Archive

**Who:** Study Investigators, Data Coordinating Centers (DCCs), Sequencing Centers

### What:

- Controlled Access Archive for sequencing data
- On-prem Storage: ETL of BAM, FASTQ
  - Configured for SRA Toolkit
  - Samples coordinated with dbGaP using BioSample
- Submitted data provisioned on the <u>Cloud</u> through STRIDES
- Run and Experiment level accessions for On-prem and cloud storage



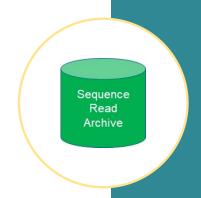
# Sequence Read Archive

### **Current Interactions with NCPI:**

- Run Metadata with cloud locations
- SRA Telemetry Reports
- INSDC identifiers in SRA, BioSample and BioProject level

### **Future Interactions with NCPI:**

- API Access to Metadata?
- Direct submission of metadata from NCPI platforms?





# dbGaP Sequence data in the cloud

Who: Any dbGaP Authorized User

### What:

- STRIDES funded provisioning of dbGaP sequencing files (4.8 PB of normalized data) into the AWS and GCP
- The oldest half of the data in cold Storage
- Files submitted by users (source files) are available in AWS & GCP cold storage through our new <u>Cloud Data Delivery</u> service that leverages the SRA Run Selector.



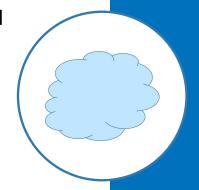
# dbGaP Sequence data in the cloud

### **Interaction with NCPI partners**

 Cloud locations are included in SRA metadata submission and are known to both SRA Run Selector and SRA Toolkit

### **Future Interactions with NCPI:**

- Tutorials for NCPI users on how to get to NCBI-configured and cloud-accessible controlled-access data
- Integration of SRA Toolkit and other SRA services with RAS toward federated access of controlled-access datasets



# NCBI RAS Development

- GA4GH WG that develop specs for basis of RAS passports
- Piloting use of RAS Auth-Z tokens as part of RAS Phase-2
- NIH DAC authorizations are updated in RAS every 15 minutes
- DRS server supports STRIDES and is piloting use of GA4GH passports as authorization mechanism





# NCBI RAS Development

 SRA Run Selector: login through RAS and obtain passport, select files

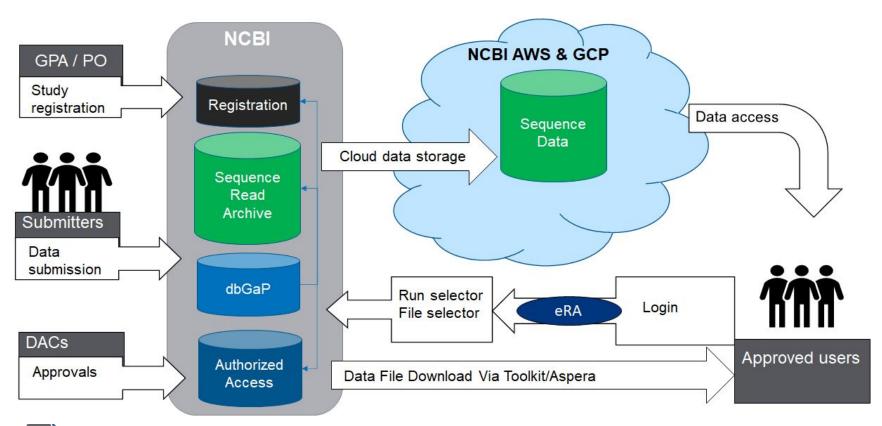
 Data Repository Service accepts IDs and processes RAS passport through internal (NCBI) clearinghouse



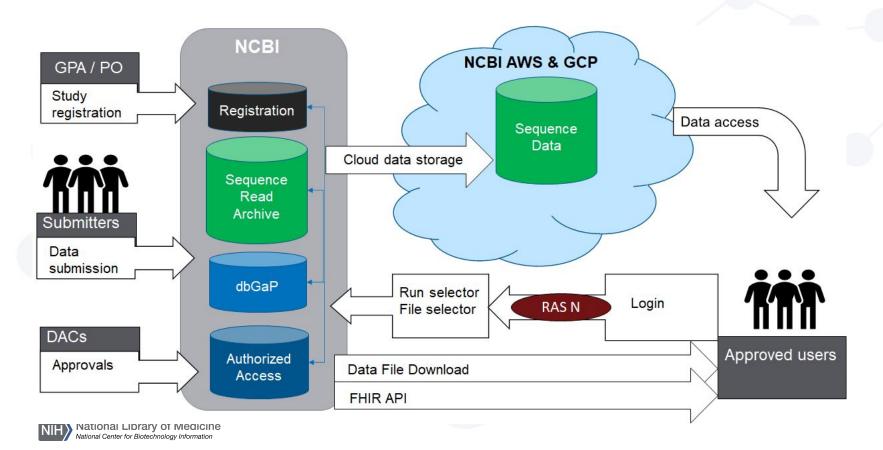
- INSDC accessions translate to DRS through the IDX service
- URLs generated into AWS & GCP cloud buckets



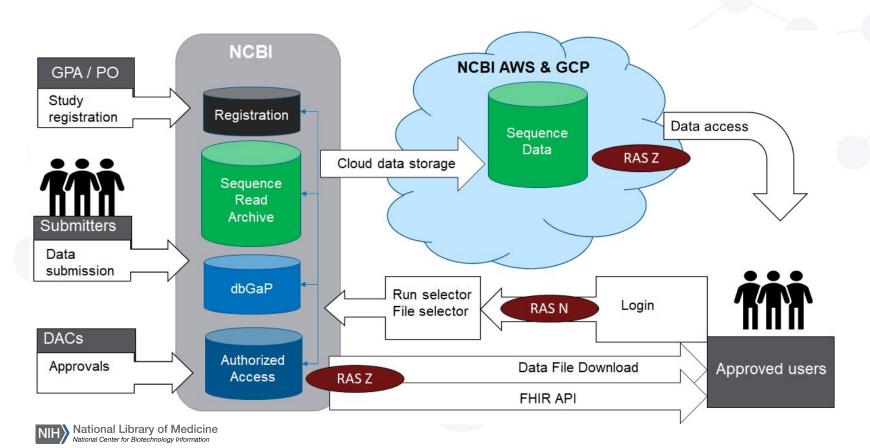
# NCBI's Data Sharing Architecture (current)



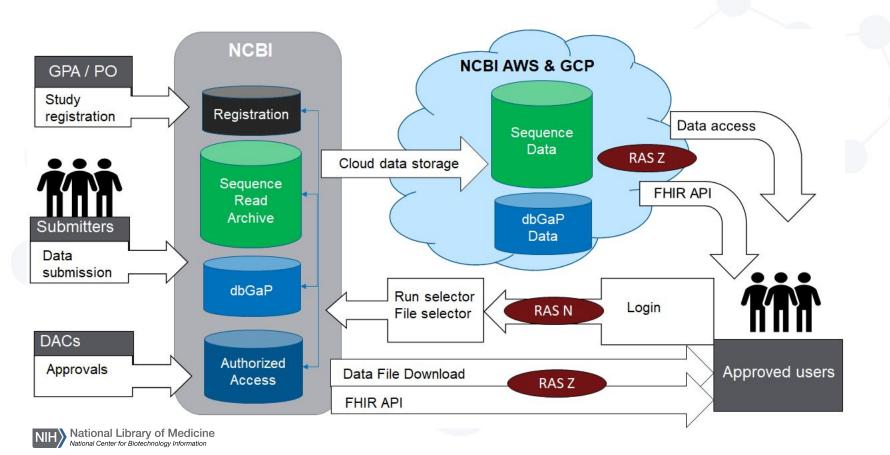
# NCBI's Data Sharing Architecture (RAS N)



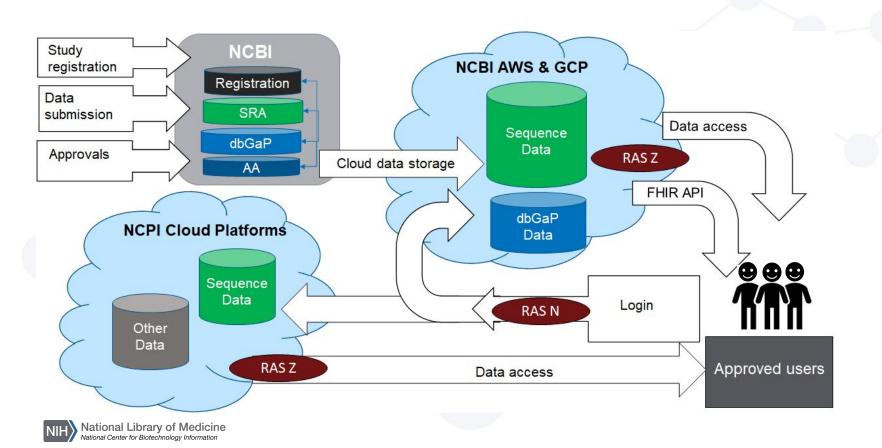
# NCBI's Data Sharing Architecture (RAS Z)



# NCBI's Data Sharing Architecture (dbGaP on Cloud)



# NCBI's Data Sharing Architecture (Multiple Stores)



# NCBI Points of Contact

### **Points of Contact**

- dbGaP Mike Feolo (<u>feolo@ncbi.nlm.nih.gov</u>)
- dbGaPonFHIR Lon Phan (lonphan@ncbi.nlm.nih.gov)
- SRA Chris O'Sullivan (osulliva@ncbi.nlm.nih.gov)
- RAS Kurt Rodarmer Sr. (rodarmer@ncbi.nlm.nih.gov)

### **NCPI Working Group Participants**

- Community Governance Working Group Valerie Schneider
- Coordination Working Group Kurt McDaniel
- FHIR Working Group Mike Feolo
- Outreach and Training Working Group Ravinder P. Eskandary
- Systems Interoperation Working Group *Kurt Rodarmer Sr.*

# Acknowledgements

### dbGaP Team

Rinat Bagautdinov	Anne Sturcke
Carol Bastiani	Masato Kimura
Monika Bihan	Ashok Komaragiri
Dale Conklin	Moira Lee
Daniil Deriy	Natalia Popova
Svetlana Dracheva	Andrew Russette
Ray Dunivin	Nataliya Sharopova
Adil Faisal	Stefan Stefanov
Mike Feolo	Jack Wang
George Godynskiy	Wendy Wu
Neha Gupta	Zhuoxi (Joe) Wu
Luning Hao	Jewen Xiao
Yumi Jin	Ming Xu
Kuljeet Kaur	Lora Ziyabari

### **SRA Team**

Zinaida Belaia	Kurt McDaniel
Colleen Bollin	Chrisopher O'Sullivan
Anatoliy Boshkin	Sergey Ponomarev
Kenneth Durbrow	Wolfgang Raetz
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Lydia Fleischmann	Robert Sanders
Svetlana lazvovskaia	Oleg Shutov
Alexey Iskhakov	Yuriy Skripchenko
Kenneth Katz	Adam Stine
Michael Kimelman	Jonathan Trow
Andrew Klymenko	Mike Vartanian
Andrey Kochergin	Eugene Yaschenko
Richard Lapoint	Vadim Zalunin





# NIH Workshop on Cloud-Based Platforms Interoperability



# Breakout Groups Report Back

Data harmonization and interoperability, including models, terminologies, mapping, provenance

Chris Chute (JHU) & Tricia Francis (JHU)

# Data harmonization and interoperability Breakout

38 participants

9 slide authors: Chris Chute, Sam Volchenboum, Melissa Cook, Allison Heath, Asiyah Lin, Subhashini Jagu, Brian Walsh, Tricia Francis, Deanne Taylor

# Large-scale topics

- System interoperability and data harmonization are synergistic
  - The better data harmonization, the easier system interop
- Both are needed for multiple use cases
  - Search, query, analyses
- Much discussion on harmonization topic
  - Clinical world contrasted with basic science and omics world
  - Different starting places
  - o Include genomics as well as clinical data in discussions about data harmonization
- NCPI: Hub and spoke model
  - May showcase how federated data from specific programs may interoperate with each other
  - The data harmonization happen more at spoke (platform level) than hubl level.
  - Need the programmatic level intervention for full scale effort, but it is out of NCPI scope

# Levels of Interoperability

### Semantic

- Data context
- Examples Mondo, HPO, Snomed, ICD-O, NCIt

### Syntactic

- Data language
- OMOP, BRIDG, FHIR, LinkML

### System

- Data presentation
- o RDF, PFB, FASTA, VCF

### Structural

- Data architecture
- o APIs, Docker

### Administrative

Authentication, authorization, access mechanisms

### Clinical Harmonization

- Historically driven by CMS and ONC for administrative purposes
- Resulted in coherent US Core for Data Interoperability standards
- Spawned the emergence of FHIR, following earlier HL7 specification
  - Support modeling language and terminology binding
  - Development of the NCPI Implementation Guide as an example

Resulted in opportunities for clear harmonization "target" models and semantics

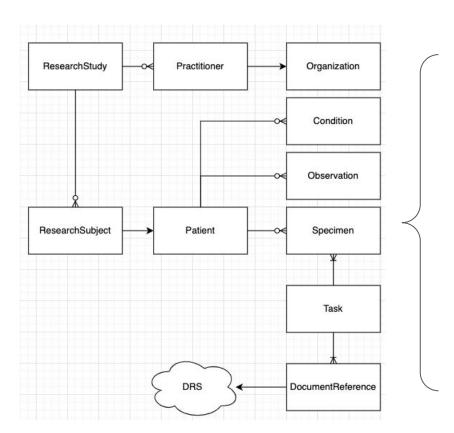
Still presents challenges for binding semantics for research:

OMOP, NCIt, UMLS,

## Basic Science/OMICs data

- More volatile than clinical data
- Still same conversation, though larger spectrum of domains
  - Genomics, proteomics, pathway, etc
- In some domain (genomics) emerging proliferation of vendors and systems
  - Divergent while overlapping data structures and annotations
- Some OMICs and experimental metadata standards have been developed in the past (MIAME (2001), MINISEQE (2012) -- NCBI GEO used them in submission practices.

# Consensus Ontologies



### Syntactic Consensus: Key FHIR Resources

- Semantic Consensus needed:
  - How to identify "consensus" Ontologies
  - How to incentivize adoption
  - Evangelize mapping toolkits

# Layers of Data Harmonization and Provisioning

- Object: System / platform /application exchange (e.g. FHIR resources)
- Relational: Analytic capabilities (e.g. OMOP)
- Spreadsheet: Data matrices for analysis (where most researchers work)
- "Language of the data"

# Who determines/decides on Best Practices

- How to get the right stakeholders in the discussion?
  - Convene communities?
- How to incentivise? NIH concerned about compliance at program level without being too prescriptive.
  - NCPI can be an example and a forum showing how to harmonize across multiple, large programs



# Search Breakout Report



### **Breakout Session Report Back**

Search

Kathy Reinold Broad Steven Cox RENCI

Jay Ronquillo NCI









# **Discussion Overview**



- 34+ participants
- Representation
  - NHGRI, NHLBI, NCI, CF Kid's First, NCBI, ODSS, academia, FNL, RENCI, ISB, SB, Broad, and others
- Questions
  - Who is searching? What are they searching for?
- Topics
  - Discovery vs cohort building vs. results-based
  - Search facets: variants, subject characteristics, clinical variables, study-level, dataset-level, data-level, by modality
  - Hypothesis generating vs. validation
  - Harmonization



### Types of Search:

- 1. Cohort building
- 2. Data set discovery
- 3. Delivering data to analysis workspaces
- 4. Find specific cases/samples
- 5. Dataset metadata (availability, access, etc)

### Two broad dimensions:

- 1. Hypothesis generation: visual interfaces preferred.
- 2. Hypothesis validation: programmatic interfaces preferred

### Multiple types of search are required



#### **Next Steps**



- Survey
  - Types of search -- what is the highest need?
  - Favorite search features
  - Facets which facets do you use? What additional facets do you need?
  - What are the most useful aspects of your favorite search tools?
  - What % of use do you see for GUI vs. API vs. SQL?
- Consider agreeing a common data dictionary format
  - Expert sourcing of format through NCPI community
- Decide on specific use cases
- Document search requirements for NCPI
- Consider an initiative to define the core terms we can agree on
  - o sex, ethnicity, race, biosample types, ...
- Is there a Working Group to follow up on these?



#### Takeaways from Post-Breakout Report Back Discussion



- Search very timely because of increased interoperability
- Strong desire for practical demonstration of use cases
  - More than simply integrating datasets, can users search across these datasets?
  - Concrete use cases in next 6 months to demonstrate ability search/extract data across platforms
- Impact of data access (open vs. controlled) on ability to search
  - Before applying for access/authorization, can user find out how many samples are in dataset or which studies are applicable?
  - How to engage investigators while getting/waiting for data approval?
- Data harmonization and identifier creation vital for search as well



#### NIH Workshop on Cloud-Based Platforms Interoperability



# Breakout Group: RAS

Andre Paredes
U. Chicago

**Brian O'Connor** *Broad Institute* 

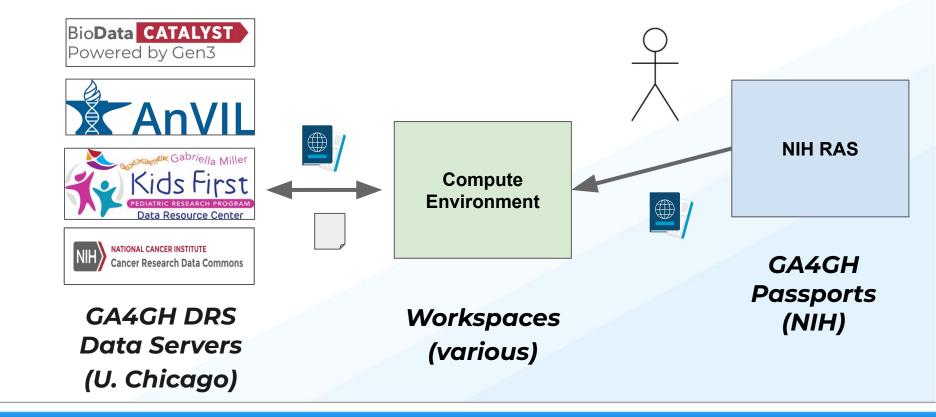






#### RAS Breakout: High Level Background





#### Background



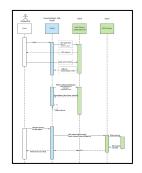
- RAS design work across a variety of teams and projects to date:
  - See <u>RAS Integration Guide 1.4</u> & <u>Milestone 3 Technical Guide</u>
  - Latest document: <u>Summary of two preferred approaches</u>
- Groups loosely coordinated a 3 milestone plan:
  - Milestone 1 : Login with RAS.
  - Milestone 2: Gen3 uses RAS Visas as the authorization information instead of dbGaP telemetry files.
  - Milestone 3: RAS Passport Visas can be used directly to access data resources,
     Central Fence is enabled by consistency across IC stacks.



#### Summary of Milestone 3 Options

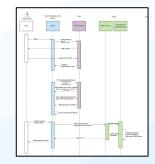


- We've worked with CRDC, <u>AnVIL</u> and <u>BDCat</u> to converge on a common approach for Milestone 3
- We've tried to help by putting together a <u>summary of two preferred approaches</u> and collaboratively address concerns... goal is to add ability to access data with passports rather than taking away previous approach



1: Current Gen3 Approach





2: New Passport Approach



#### Technical Issues To Discuss



- 1) How does a Data Server ensure the RAS Passport with Visas is coming from a trusted client?
  - a) <u>Repackaging Passport</u> → Client Passport with signature?
  - b) <u>Mutual SSL</u> certs approach?
  - c) Does it matter if the client is trusted if RAS trusts it?
- 2) How do we ensure data access with Passports is performant?
  - a) POST of Passports?
  - b) Caching strategy?
  - c) <u>Downscoping of Visas?</u> Requires future releases of specifications.
- 3) Others? Most/All addressed in Summary of two preferred approaches?

#### **Breakout Schedule**



- 1. Trust  $\rightarrow \frac{20}{40}$  minutes
- 2. Performance  $\rightarrow \frac{20}{5}$  minutes
- 3. Policy or Other Issues → 15 minutes
- 4. Next steps (NCPI)  $\rightarrow$  5 minutes



#### Findings: Trust



## How does a Data Server ensure the RAS Passport with Visas is coming from a trusted client?

- 1) Mutual SSL... **yes**, do this for at least BDCat
  - AI: which other systems require this?
- 2) Repackaged, signed Passports are not sufficient to identify a client
  - But systems may implement full Passport Brokers that repackage and add new visas in addition to RAS visas... that's OK and satisfies some use cases (like consortium data access)



#### Findings: Performance



# Possible performance issues, caching strategy, and verification of passports

- 1) we need to support POST of Passports+Visas given their size
  - AI: DRS spec needs to be updated, PR available
  - AI: DRS implementations need to be updated
- 2) downscoping is of interest and being actively worked on but is not the solution to passport size restrictions per se
  - AI: GA4GH continue to work on downscoping approach
  - Al: systems ultimately to implement...



### Findings: Policy



#### **Policy issues**

- Can repackage a passport if your system is a full GA4GH Passport
   Broker
- Al: Need clarification from projects if they require SSL client/server verification

#### Next Steps Timeline



- 1. Address any additional concerns from the Passport proposal  $\rightarrow$  finalize as whitepaper
  - a. Consensus on Trust approach  $\rightarrow$  which systems require mutual SSL  $\rightarrow$  Q2
    - i. Policy & Governance group?
  - b. Consensus on the proposed DRS POST update to support Passports  $\rightarrow$  Q2
    - i. GA4GH & Gen3 DRS implementations
  - c. Consensus on the proposed downscoping support for Passports + DRS  $\rightarrow$  Q2?
    - i. GA4GH, Client Systems, RAS & Gen3 DRS implementations
  - d. <u>Use NCPI Sys Interop working group to reach consensus across platforms? → Yes</u>
- 2. Adoption of Milestone 3 by DRS servers, RAS (if any changes are needed) and various analysis workspace clients (as well as Signed URL support)  $\rightarrow$  Q3-Q4
  - a. Anything blocking this? Any remaining issues?



#### NIH Workshop on Cloud-Based Platforms Interoperability



### NCPI Spring 2021 Workshop Day 1 Wrap Up

- Speakers please send us your presentations from today
- If you have not registered, please do:
  - tinyurl.com/NCPIregistration
- Please use the WebEx application and not a browser
- · Fall 2021 Workshop poll: tinyurl.com/NCPIfallpoll